

*Hanoi, April 2026*

DRAFT

**ORGANIZATIONAL REGULATIONS  
ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2026  
BIDV SECURITIES JOINT STOCK COMPANY**

*Pursuant to:*

- Law on Enterprises No. 59/2020/QH14 approved by the National Assembly on June 17, 2020 and its amendments and supplements;*
- Securities Law No. 54/2019/QH14 approved by the National Assembly on 26/11/2019 and amending and supplementing documents;*
- Decree No. 155/2020/ND-CP dated 31/12/2020 of the Government detailing the implementation of a number of articles of the Law on Securities and amending and supplementing documents;*
- Charter of BIDV Securities Joint Stock Company.*

**CHAPTER I  
GENERAL REGULATIONS**

**Article 1. Scope and subjects of application**

1. This Regulation applies to the organization of the 2026 Annual General Meeting of Shareholders (hereinafter referred to as the "**General Meeting**") of BIDV Securities Joint Stock Company (hereinafter referred to as the "**Company**").

2. This Regulation specifies the rights and obligations of shareholders and participants of the General Meeting, conditions and procedures for conducting the General Meeting.

3. Shareholders and parties attending the General Meeting are responsible for complying with the provisions of this Regulation, the Company's Charter and current laws.

**Article 2. Conditions for attending the Congress**

Shareholders named in the list of shareholders on the closing date of the right to attend the General Meeting have the right to directly attend the General Meeting or duly authorize others to attend the General Meeting.

**CHAPTER II  
RIGHTS AND OBLIGATIONS OF THE PARTIES WHEN ATTENDING THE  
GENERAL MEETING**

**Article 3. Rights and obligations of shareholders/authorized representatives of shareholders when attending the General Meeting**

1. Ordinary shareholders have the right to attend, discuss and vote on all matters under the jurisdiction of the General Meeting of Shareholders; each ordinary share corresponds to one vote.

2. In case shareholders cannot attend the General Meeting of Shareholders for their own reasons, if they wish to authorize, they can authorize with a valid Power of Attorney in accordance with BSC's regulations for another person to attend and vote on their behalf, or send a voting slip by certified mail to the Organizing Committee of the General Meeting at least 01 day before the opening of the meeting. General Meeting of Shareholders (before 8:30 a.m. on April 21, 2026).

Shareholders or authorized representatives when attending the General Meeting must bring the following documents: (i) Notice of invitation to the meeting; (ii) Identity documents (valid CC/CCCD/Passport), (iii) copy of the Certificate of Business Registration (in case the shareholder is an organization), (iv) Power of Attorney (if the shareholder is an authorized representative of the shareholder) to carry out the procedures for registering to attend the General Meeting. Shareholders are only allowed to officially attend and vote at the meeting after carrying out the registration procedures and proving that their status as a shareholder and/or authorized representative is valid.

3. In case a shareholder has authorized another person without a written notice of cancellation of authorization, such shareholder may not receive a voting card at the General Meeting when attending the General Meeting if his/her authorized person has carried out procedures for receiving the voting card.

4. The Organizing Committee of the Company's Annual General Meeting of Shareholders will publicly announce the program of the General Meeting. The opinions of shareholders or through authorized representatives at the General Meeting will be publicly discussed and voted by voting cards depending on each issue.

5. Shareholders and authorized representatives of shareholders who attend the General Meeting after the meeting has opened must carry out the procedures for registering to attend the General Meeting with the Shareholder Eligibility Examination Board and then have the right to participate and vote at the General Meeting immediately after registration. The Chairman is not responsible for stopping the General Meeting so that shareholders are late to register and the validity of the previously voted contents remains unchanged.

6. During the conduct of the General Meeting, shareholders must strictly abide by the rules at the Annual General Meeting of Shareholders, behave civilly and politely, do not cause disorder and respect the work results of the General Meeting and the management of the Chairman of the General Meeting.

7. In case a shareholder leaves the meeting before the General Meeting votes without notifying the Vote Counting Committee, such shareholder is deemed to have agreed with all matters to be voted on at the General Meeting.

#### **Article 4. Rights and obligations of the Shareholder Eligibility Examination Committee to attend the General Meeting, the Vote Counting Committee**

1. The Shareholder Eligibility Examination Committee to attend the General Meeting (hereinafter referred to as the "**Shareholder Eligibility Examination Committee**") approved by the Board of Directors has the following rights and obligations:

a) Check the eligibility of shareholders or authorized representatives of shareholders based on the documents they present;

b) Report to the General Meeting on the results of checking the eligibility of shareholders to attend the General Meeting;

c) In case the person attending the meeting is not fully qualified to attend the General Meeting, the Shareholder Eligibility Examination Board has the right to refuse the right to attend the meeting, refuse to issue a voting card and distribute documents of the General Meeting.

2. The Vote Counting Committee consists of a Head of the Committee and a number of members who are not candidates for members of the Board of Directors/members of the Board of Supervisors, recommended and proposed by the Chairman and voted for approval by the General Meeting at the meeting.

The Vote Counting Committee has the following tasks:

a) For voting on the contents at the Congress:

- Instruct shareholders/authorized representatives of shareholders on how to use the Voting Cards;

- Count the number of votes by type and report the voting results for the Chairman to announce immediately before the closing of the Congress;

b) For voting for the election of members of the Board of Directors and members of the Board of Supervisors:

- Guiding the Regulation on election of members of the Board of Directors and members of the Board of Supervisors;

- Instruct shareholders and authorized representatives of shareholders on how to use the Election Papers;

- Inspecting and supervising the voting and election of shareholders and authorized representatives of shareholders;

- Distributing and collecting election ballots;

- Counting votes, making a record of vote counting, announcing the results of vote counting before the Congress.

#### **Article 5. Chairman and Secretariat of the Congress**

1. The Chairman of the Board of Directors shall preside over the Annual General Meeting of Shareholders. In case the Chairman of the Board of Directors is absent or temporarily incapacitated, the remaining members of the Board of Directors shall elect one of them to chair the meeting on the principle of majority. In case of failure to elect a person to be the chairperson, the Head of the Board of Supervisors shall allow the General Meeting of Shareholders to elect the chairperson of the meeting from among the participants and the person with the highest number of votes to preside over the meeting.

2. The Chairman's decision on the order, procedures or events arising outside the program of the General Meeting shall be final.

3. The Chairman of the Congress has the right to take necessary and reasonable measures to administer the Congress in an orderly manner, in accordance with the approved program and reflecting the wishes of the majority of the participants.

4. The Chairman has the right to postpone the meeting of the General Meeting of Shareholders with a sufficient number of people registered to attend the meeting within 03 working days from the date the meeting is intended to open and may only postpone the meeting or change the meeting venue in the following cases:

- a) The meeting venue does not have enough convenient seating for all attendees;
- b) The means of communication at the meeting venue do not ensure the participation, discussion and voting of shareholders attending the meeting;
- c) There are people attending the meeting to obstruct or disrupt the order, risking making the meeting not conducted fairly and legally.
- d) The delay is necessary for the work of the General Assembly to proceed validly.

5. The Secretariat of the Congress consists of 02 members nominated by the Chairman and approved by the Congress. The Secretariat performs assisting tasks as assigned by the Chairman, reflecting honestly and accurately the contents of the Congress in the Minutes and Resolutions of the Congress.

### **CHAPTER III**

#### **ORDER OF CONDUCTING THE CONGRESS**

##### **Article 6. Conditions for conducting the Congress**

The General Meeting of Shareholders shall be held when the number of shareholders attending the meeting represents more than fifty percent (50%) of the total votes.

##### **Article 7. Method of conducting the General Meeting**

1. The congress is expected to take place in 1/2 day.
2. After completing the opening procedures for the meeting to take place validly, the agenda and content of the meeting will be discussed and voted on by the General Meeting.

The Congress will be conducted in turn according to the content of the Congress's agenda and discussed before voting to approve each issue in the above content.

The voting to approve each content in the General Meeting shall comply with the provisions of Article 9 of this Regulation.

### **Article 8. Principles of speech at the General Meeting**

1. Shareholders expressing their opinions on discussion and questioning at the General Meeting must comply with the following principles:

a) They must raise their hands to ask for the Chairperson's opinion and may only speak after the Chairman's consent. At the same time, only one shareholder has the right to speak.

b) In case many shareholders have opinions at the same time, the Chairman shall sequentially invite each shareholder to present their opinions.

c) The Chairman of the General Meeting has the right to remind or request shareholders to focus on the key content to be spoken, to save time and ensure the quality of the discussion. The Chairman has the right to interrupt the presentation of the shareholders' opinions if he deems it necessary.

d) Comments or questions will be gathered at the same time and answered in the following order.

2. Shareholders' proposals must ensure the following conditions:

a) Be concise, clear and focus on the right key contents to be discussed, in accordance with the approved program of the Congress.

b) The total duration of a shareholder's speech shall not exceed 5 minutes. If this time limit is exceeded, the Chairman of the General Meeting may request the shareholders to make questions and proposals in writing, the Secretariat of the General Meeting must record them and the Board of Directors within the scope of its competence will reply in writing to the shareholders within 05 working days from the time of receipt of the shareholder's petition.

c) Issues that have been spoken by the previous person are not restated to avoid duplication.

d) The proposed content must not violate the law, relate to personal issues or exceed the authority of the enterprise.

### **Article 9. Approving the Resolution of the General Meeting of Shareholders**

1. Shareholders can vote on issues in the following forms:

a) Vote directly at the General Meeting by voting card;

b) Voting through authorizing other individuals and organizations to attend the meeting, then the authorized representative attends the General Meeting and votes in the form of shareholders directly attending the meeting;

c) Send the absentee ballot by certified mail to the Organizing Committee of the Congress at least one day before the opening of the meeting (before 08:30 on April 21, 2026). In this case, the Head of the Vote Counting Committee of the General Meeting of Shareholders has the right to open the voting votes of such shareholders at the General Meeting of Shareholders

## 2. Forms of voting on issues

The voting to approve issues at the General Meeting shall be carried out in two (02) forms: direct voting in the form of holding up the voting card and voting in absentia via the absentee voting form (according to the form) enclosed with the notice of invitation to the meeting to shareholders as prescribed.

a) In case of direct voting by voting card: Shareholders or authorized representatives of shareholders will vote for each content in the General Meeting program by holding up their voting cards when asked by the Chairman to approve/disapprove/have no opinion on each specific content. In case the shareholder or the authorized representative of the shareholder does not hold up the voting card, it shall be considered as approving. Shareholders are only allowed to hold up their voting cards once for each voting content.

b) In case of absentee voting by Voting Paper: shareholders will give their opinions of Approval/Disapproval/No opinion on each specific content and send it back to the Organizing Committee within the prescribed time limit.

The Vote Counting Committee will be responsible for counting votes in both forms of voting and notifying the Chairman to announce the results at the Congress.

3. The election of members of the Board of Directors and members of the Board Supervisors shall comply with the provisions of the Regulation on the election of members of the Board of Directors and members of the Board of Supervisors for the term 2026-2031.

## **Article 10. Resolution and Minutes of the General Meeting of Shareholders**

1. All contents at the congress meeting must be recorded by the Congress Secretariat in the minutes of the congress. The minutes and the Draft Resolution of the General Meeting shall be read at the General Assembly and the General Assembly shall vote for approval before the closing of the meeting.

2. The minutes of the meeting and the Resolution of the General Meeting of Shareholders and attached documents shall be disclosed in accordance with the law and must be kept at the Company's head office.

**CHAPTER IV**  
**IMPLEMENTATION PROVISIONS**

**Article 11. Implementation provisions**

1. This Regulation consists of 4 Chapters and 11 Articles, approved by the 2026 Annual General Meeting of Shareholders of BIDV Securities Joint Stock Company on April 22, 2026 and takes effect as soon as it is approved.

2. Shareholders and attendees of the General Meeting shall be responsible for implementing the provisions of this Regulation.

**OB. GENERAL MEETING OF  
SHAREHOLDERS  
CHAIRMAN**

**Ngo Van Dzung**